Dr. Dan W. Puchniak

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http://ssrn.com/author=664455

Brief Biography

Dr. Dan W. Puchniak is a Professor and Lee Kong Chian Fellow in the Yong Pung How School of Law (YPHSL) at Singapore Management University, the Director of the YPHSL Centre for Commercial Law in Asia, the Inaugural Director of the Asian Corporate Law Forum, a Research Member of the European Corporate Governance Institute (ECGI), and the Editor-in-Chief of the ECGI Blog. Dan is an internationally recognized scholar in the field of comparative corporate law and governance, with a focus on Asia. He has received numerous domestic and international awards for his academic research and teaching – most recently, the 2023 Cleary Gottlieb Law Prize, which is widely considered the top annual prize in the world for corporate law scholarship. Dan's research has been profiled in *The Economist*, *The Japan Times*, and *The Business Times* and is regularly published in leading corporate and comparative law journals. His research has pioneered the emerging field of intra-Asian comparative corporate law and governance.

Dan sits on the editorial boards of several leading Asian and comparative law journals. He has held visiting academic positions at leading universities around the world, including Chulalongkorn University, Columbia University, Lomonosov Moscow State University, Melbourne University, Nagoya University, Oxford University, Queen's University, Reichman University, Seoul National University, Stanford University, University of Chicago, University of Tokyo, University of Trento, University of Victoria, Vanderbilt University, and Yangon University. He has advised international organizations on the development of corporate law in Asia, served as an expert in high stakes complex corporate law disputes in Asia, and his research has been cited several times by Singapore's apex court.

Prior to joining the YPHSL, Dan was a tenured faculty member at the National University of Singapore, Faculty of Law (NUS Law). During his 14-years at NUS Law, Dan served as the Director of the Centre for Asian Legal Studies (CALS), Editor-in-Chief of the Asian Journal of Comparative Law (Cambridge University Press), and Director for Corporate Law at the EW Barker Centre for Law & Business. He won multiple faculty and university level teaching awards and was the first member of the Faculty of Law to be placed on the NUS Annual Teaching Excellence Award Honour Roll. Prior to entering academia, Dan worked as a corporate commercial litigator at one of Canada's leading law firms.

Curriculum Vitae

FULL-TIME WORK EXPERIENCE

2022 - Present Singapore Management University, Yong Pung How School of Law, Professor of Law (with tenure)

- Lee Kong Chian Fellow (2023-2024)
- Faculty positions: Director of the Centre for Commercial Law in Asia (2023 to present)
- Teaching: Singapore company law & PhD Legal Research
- Launched a required course on Legal Research for PhD students
- Received the Dean's Teaching Excellence Award (AY2023-24)
- Received Most Outstanding Legal Studies Teacher Award (AY2022-23)

2014 - 2022 National University of Singapore, Faculty of Law, Associate Professor (with tenure)

- Faculty positions: Director of the Centre for Asian Legal Studies (CALS) (2016 to 2020) and Editor-in-Chief of the Asian Journal of Comparative Law (Cambridge University Press) (2016 to 2020); EW Barker Centre for Law & Business (EWBCLB), Director of Corporate Law (2014 to 2016)
- Taught: Comparative corporate law, Singapore company law, Singapore corporate governance, and Japanese corporate law and governance
- Received four University Level and three Faculty Level Teaching Excellence awards, including being the first member of NUS Law to be placed on the NUS Annual Teaching Excellence Award Honour Roll
- Received perfect scores on teaching evaluations in six different courses and almost 800 nominations for teaching awards from students during his time at NUS Law
- Research supervisor and/or examiner for LLB, LLM, and PhD students in the areas of comparative corporate law and governance, corporate law in Asia, Singapore company law, and Japanese corporate law and governance

2008 - 2013 National University of Singapore, Faculty of Law, Assistant Professor (tenure track)

- Developed two new courses at the law school: Comparative corporate law; and, Japanese corporate law and governance
- Taught Singapore company law, comparative corporate law, and Japanese corporate law and governance
- Acted as a research supervisor and/or examiner for LLB, LLM and PhD students in the
 areas of comparative corporate law and governance, corporate law in Asia, Singapore
 company law, and Japanese corporate law

2001 - 2005 Torys LLP, Toronto, Litigation Associate and Articling Student

 Worked as an articling student and associate lawyer in the corporate commercial litigation department—which is consistently ranked as one of the top corporate commercial litigation departments in Canada

2000 Fraser Milner Casgrain LLP (Now, Dentons), Vancouver, Summer Articling Student

• Worked as a summer articling student in one of Canada's leading corporate law firms

1997 - 1998 Japan Exchange Teaching (JET) Program, Shinwa Town, Amakusa

- Created English language teaching materials for the school board of Shinwa-machi
- Worked as an English language teacher in the Japanese public-school system

VISITING POSITIONS

2023

2024 The University of Tokyo, Faculty of Law, Visiting Professor

- Co-coordinating (with Professor Gen Goto and Masayuki Tamaruya from Tokyo University) a course on "Commercial Law in Asia: A Common Law Perspective" (Asian Business Law Seminar 1) which will be offered to undergraduate and graduate law students at Tokyo University from April to July 2024
- Co-teaching this course with Professors Goto and 5 other colleagues, with my lectures focusing on comparative corporate law in Asia

2023 The University of Tokyo, Faculty of Law, Visiting Professor

 Served as a Visiting Professor at the University of Tokyo, Faculty of Law, to co-teach in its Comparative Corporate Law Summer School Program in August 2023

The University of Tokyo, Faculty of Law, Visiting Professor

- Co-coordinated (with Professor Haruo Hirano from Tokyo University) a course on "Commercial Law in Asia: A Common Law Perspective" (Asian Business Law Seminar 1) offered to undergraduate and graduate law students at Tokyo University from April to July 2023
- Co-taught this course with Professor Haruo Hirano and 5 other colleagues, with my lectures focusing on comparative corporate law in Asia

2022 The University of Tokyo, Faculty of Law, Visiting Professor

- Co-coordinated (with Professor Haruo Hirano from Tokyo University) a course on "Commercial Law in Asia: A Common Law Perspective" (Asian Business Law Seminar 1) offered online to undergraduate and graduate law students at Tokyo University from April to July 2022
- Co-taught this course with Professor Haruo Hirano and 5 other NUS Law colleagues with my lectures focusing on comparative corporate law in Asia

2021 The University of Tokyo, Faculty of Law, Visiting Professor

- Co-coordinated (with Professor Haruo Hirano from Tokyo University) a course on "Commercial Law in Asia: A Common Law Perspective" (Asian Business Law Seminar 1) offered online to undergraduate and graduate law students at Tokyo University from April to July 2021
- Co-taught this course with Professor Haruo Hirano and 5 other NUS Law colleagues with my lectures focusing on comparative corporate law in Asia

2020 The University of Tokyo, Faculty of Law, Visiting Professor

- Co-coordinated (with Professor Haruo Hirano from Tokyo University) a course on "Commercial Law in Asia: A Common Law Perspective" (Asian Business Law Seminar 1) offered to undergraduate and graduate law students at Tokyo University from April to July 2020
- Co-taught this course with Professor Haruo Hirano and 5 other NUS Law colleagues with my lectures focusing on comparative corporate law in Asia

2019 The University of Tokyo, Faculty of Law, Visiting Professor

Served as a Visiting Professor at the University of Tokyo, Faculty of Law, to co-teach
in its Comparative Corporate Law Summer School Program in August 2019 with other
leaders in the field from around the world

2019 Radzyner Law School, Reichman University, Visiting Professor

2019

 Served as a Visiting Professor at Radzyner Law School, IDC Herzliya, to teach an intensive course on Comparative Corporate Law, with a focus on Asia, in May 2019

The University of Tokyo, Faculty of Law, Visiting Professor

- Co-coordinated (with Professor Haruo Hirano from Tokyo University) a course on "Commercial Law in Asia: A Common Law Perspective" (Asian Business Law Seminar 1) offered to undergraduate and graduate law students at Tokyo University from April to July 2019
- Co-taught this course with Professor Haruo Hirano and 5 other NUS Law colleagues with my lectures focusing on comparative corporate law in Asia

2019 Columbia Law School, International Visiting Associate Professor

- Served as a Short-Term International Visiting Associate Professor at Columbia Law School in March 2019
- Presented a recent working paper in Columbia Law School's corporate law working paper seminar series
- Invited to co-facilitate two seminars by Professor Jeffrey Gordon in his Contemporary Corporate Law Scholarship course
- Hosted a lunch for JD, LLM, and JSD students in which I was invited to share my current research

2019 Stanford Law School, International Visiting Associate Professor

- Served as a Short-Term International Visiting Associate Professor at Stanford Law School in February 2019
- Invited by Professor Curtis Milhaupt to teach in two of his classes in his Comparative Corporate Law and Governance course
- Presented a recent working paper in a faculty seminar for corporate law professors

2018 The University of Tokyo, Faculty of Law, Visiting Professor

- Co-designed and co-coordinated (with Professor Haruo Hirano from Tokyo University)
 a course on "Commercial Law in Asia: A Common Law Perspective" (Asian Business
 Law Seminar 1), which was taught at Tokyo University from April to July 2018
- Co-taught this course with Professor Haruo Hirano and 5 other NUS Law colleagues with my lectures focusing on comparative corporate law

2017 Chulalongkorn University, Faculty of Law, Visiting Professor

 Served as a Visiting Professor at Chulalongkorn University, Faculty of Law, to teach an intensive course on Comparative Corporate Law, with a focus on Asia, in the LLM (Business Law) Program in July 2017

2017 The University of Tokyo, PEAK Program, Visiting Professor

 Served as a Visiting Professor at the University of Tokyo to teach an intensive course on Comparative Corporate Law, with a focus on Asia and Japan, in the PEAK Program in April 2017

2016 The University of Tokyo, Faculty of Law, Visiting Professor

 Served as a Visiting Professor at the University of Tokyo, Faculty of Law, to co-teach in its Comparative Corporate Law Summer School Program in August 2016

2015 University of Chicago Law School, Visiting Scholar of Law

- Served as a Visiting Scholar of Law at the University of Chicago Law School for the 2015 Autumn Ouarter
- Presented current research on comparative corporate law in Asia at a seminar in the law school

2015 Vanderbilt Law School, Visiting Associate Professor

- Served as a Visiting Associate Professor at Vanderbilt Law School and taught an intensive course on comparative corporate law in August/September 2015
- Received an average score of 4.92 out of 5 on the anonymous student course evaluation feedback
- Presented current research on comparative corporate law in Asia at a seminar in the law school

2015 Seoul National University, School of Law, Visiting Professor & Global Challenge Visiting Scholar

- Served as a Visiting Professor in SNU Law and taught a course on comparative corporate law from March to June 2015
- Appointed as a Global Challenge Visiting Scholar at SNU Law
- Presented current research on comparative corporate law in Asia at a seminar in the law school

2015 Oxford University, Harris Manchester College, Visiting Fellow

- Served as a Visiting Fellow in the Commercial Law Centre at Harris Manchester College in January/February 2015
- Presented current research on comparative corporate law in Asia at a seminar in the law school

2014 Lomonosov Moscow State University, Faculty of Law, Visiting Professor

 Provided a series of lectures and presented my research on comparative corporate law at Lomonosov Moscow State University, Faculty of Law, in December 2014

2014 University of Trento, Faculty of Law, Visiting Professor

 Co-taught a course on comparative corporate law and governance in Asia at the University of Trento, Faculty of Law, in April 2014

2014 Yangon University, Department of Law, Visiting Professor and Open Society Foundations (OSF) Visiting Fellow

- Taught two intensive courses on comparative corporate law to students and faculty in February/March 2014 and worked with the Department of Law on the development of their research and teaching
- Visited at the (Nagoya University) Myanmar-Japan Legal Research Centre and undertook research on the development of Myanmar's new draft company law
- Attended a meeting with George Soros on the OSF's Myanmar Higher Education Support Program

2013 Nagoya University Law School and Centre for Asian Legal Exchange (CALE), Visiting Professor and Research Fellow

• Served as a Visiting Professor at Nagoya University Law School and as a Research Fellow at CALE from April to June 2013

2013 Melbourne Law School, Masters Program, Senior Fellow

- Taught an intensive course on corporate law in a global financial centre in the LLM Program in January/February 2013
- Received 5.0 out of 5.0 on the Student Experience Survey evaluation of how the course was taught

2013 Queen's University Faculty of Law, Visiting Professor

- Taught an intensive course on comparative corporate law to LLB and LLM students in February/March 2013
- Received 4.6 out of 5.0 on the overall evaluation of the course in the student assessment of teaching survey

2011 NYU@NUS International Business Law LLM, Shanghai Program, Visiting Professor

 Taught an intensive course in the NYU@NUS International Business Law, Shanghai Program, on Japanese corporate law and governance at The East China University of Political Science and Law (ECUPL) in March/April 2011

2011 University of Victoria Law School, Canada, Visiting Professor

- Taught a course on Japanese Corporate Law and Governance in the Asian Law Summer Program in June/July 2011
- Received 5.0 out of 5.0 on the overall score in the student course experience survey

2010 Nagoya University Faculty of Law, Visiting Professor

 Taught an intensive seminar on Comparative Corporate Law & Governance in the Nagoya University LLM Program and provided intensive seminars on comparative corporate law in Asia to an LLD Candidate

2009 Nagoya University Faculty of Law, Visiting Professor

 Taught intensive seminars on Comparative Corporate Law & Governance and Singapore company law from a comparative perspective in the Nagoya University LLM Program

2007 University of Victoria Law School, Canada, Visiting Lecturer

 Taught a course on Japanese Corporate Governance in the Asian Law Summer Program in June/July 2007

ACADEMIC ACHIEVEMENTS

2024 Lee Kong Chian Fellow

Awarded the Lee Kong Chian Fellowship by Singapore Management University

2024 Dean's Teaching Excellence Award, SMU Yong Pung How School of Law

 Received the Dean's Teaching Excellence Award for courses taught in Academic Year 2023-24

2024 Global Corporate Governance Colloquia (GCGC), Invited Presenter

- Paper co-authored with Aurelio Gurrea-Martinez, Geneviève Helleringer, and Wolf-Georg Ringe selected as one of twelve papers for presentation at the 2024 GCGC, which is widely recognized as the world's leading corporate governance conference
- "The Global Corporate Purpose Continuum: The Case for Diversity" [video of presentation, <u>click here</u>]

2023 Cleary Gottlieb Law Prize

2021

2019

- Awarded the Cleary Gottlieb Law Prize for my paper "No Need for Asia to be Woke: Contextualizing Anglo-America's 'Discovery' of Corporate Purpose"
- The Cleary Gottlieb Law Prize is awarded annually for the best working paper in the ECGI Law Working Paper Series and is widely considered the top annual prize in the world for corporate law scholarship [click here for a <u>news article</u> describing the award, an <u>interview</u> about the award, and a video of the <u>award ceremony and paper</u> <u>presentation</u>]
- This was the first time the award was awarded to a professor from a university in Asia

2023 Most Outstanding Legal Studies Teacher Award, SMU Yong Pung How School of Law

 Received the Most Outstanding Legal Studies Teacher Award for the LGST201 Company Law course I taught in Academic Year 2022-23

Research Member, European Corporate Governance Institute (ECGI)

 Appointed as a Research Member of the European Corporate Governance Institute (ECGI) based on the quality of my research publications and contributions to the field of corporate governance

Global Corporate Governance Colloquia (GCGC), Invited Presenter

- Paper co-authored with Umakanth Varottil selected as one of twelve papers for presentation at the 2019 GCGC, which is widely recognized as the world's leading corporate governance conference
- "Related Party Transactions in Commonwealth Asia: Complicating the Comparative Paradigm" [video of presentation, <u>click here</u>]

2014 - 2018 National University of Singapore, University's Annual Teaching Excellence Award Honour Roll

 Placed on the University's Annual Teaching Excellence Award Honour Roll for five years as recognition for receiving the university level Annual Teaching Excellence Award three times

2015 Seoul National University, Global Challenge Visiting Scholar

 Selected by Seoul National University to be a Global Challenge Visiting Scholar at SNU Law

2013 National University of Singapore, University's Annual Teaching Excellence Award

• Selected by the University to receive the award for demonstrating excellence in teaching

2013 National University of Singapore, Faculty of Law's Annual Teaching Excellence Award

• Selected by the Faculty to receive the award for demonstrating excellence in teaching

| 2012 | Annual Corporate Law Teachers Association Conference, Best Paper Prize |
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| | • Received the prize for the best conference paper at the annual Corporate Law Teachers Association Conference in Australia |
| 2012 | Corporate Practice Commentator, Article Selected for Reprint |
| | Co-Authored article was selected for reprint in the Corporate Practice Commentator as one of the top corporate law articles of the year |
| 2012 | National University of Singapore, University's Annual Teaching Excellence Award |
| | Selected by the University to receive the award for demonstrating excellence in teaching |
| 2012 | National University of Singapore, Faculty of Law's Annual Teaching Excellence Award |
| | • Selected by the Faculty to receive the award for demonstrating excellence in teaching |
| 2011 | National University of Singapore, University's Annual Teaching Excellence Award |
| | Selected by the University to receive the award for demonstrating excellence in teaching |
| 2011 | National University of Singapore, Faculty of Law's Annual Teaching Excellence Award |
| | • Selected by the Faculty to receive the award for demonstrating excellence in teaching |
| 2010 | Harvard-Stanford International Junior Faculty Forum, Invited Presenter |
| | Selected to present a research paper at the annual Faculty Forum which aims to bring together "the world's most innovative junior legal scholars" |
| 2005 - 2008 | Monbukagakusho Scholarship |
| | Japanese government full scholarship for completion of LL.D. at Kyushu University |
| 2001 - 2002 | Monbukagakusho Scholarship |
| | • Japanese government full scholarship for completion of LL.M. at Kyushu University |
| 2001 | Professor Jim Ellis Memorial Prize in International Law |
| | Highest standing in International Law at the University of Victoria Law School |
| 2001 | Fasken Martineau DuMoulin Prize in Employment Law |
| | Highest standing in Employment Law at the University of Victoria Law School |
| 1997 | Jean and Joseph McCombe Memorial Prize |
| | Highest cumulative graduating GPA in the Bachelor of Arts General Program at the University of Manitoba |
| 1997 | Dr. Mrs. J. M. Trainor Scholarship |
| | Highest standing for the academic year in psychology at St. Paul's College at the University of Manitoba |

1996 Dr. Mrs. J. M. Trainor Scholarship

• Highest standing for the academic year in psychology at St. Paul's College at the University of Manitoba

1995 Isbister Scholarship

 Highest cumulative GPA for the academic year in the Bachelor of Arts Program at the University of Manitoba

1994 St. Paul's High School, Winnipeg, Canada

• Student Body President

1989 St. John Brebeuf Junior High School, Winnipeg, Canada

• Student Body President

EDUCATION

| 2008 | LLD, Kyushu University, JapanComparative Corporate Law and Governance |
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| 2002 | LLM, Kyushu University, JapanInternational Business and Economic Law |
| 2001 | LLB, University of Victoria, Canada |

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BA, University of Manitoba, Canada

BAR ADMISSION

1997

2003 Ontario, Canada (licence voluntarily surrendered on 26 Sept 2023)

OTHER POSITIONS & EXPERIENCE

2023 - Present Asian Corporate Law Forum, Inaugural Director

- Spearheaded the launch of the <u>Asian Corporate Law Forum</u> (ACLF) which aims to be the preeminent organisation in the world for scholarship on corporate law and governance in Asia
- Founding Institutional Members are: Chulalongkorn University Faculty of Law, City
 University of Hong Kong Faculty of Law, Jindal Global Law School, Monash
 University Business and Law Schools, National Taiwan University College of Law,
 Peking University Law School, Seoul National University School of Law, Singapore
 Management University Yong Pung How School of Law, and the University of Tokyo
 Graduate Schools for Law and Politics

2023 - Present China International Business and Economic Law (CIBEL) Centre, University of New South Wales, Advisory Board Member

• Appointed by CIBEL to be a Member of its Advisory Board

2023 - Present European Corporate Governance Institute, Editor-in-Chief, ECGI Blog

 Appointed by the European Corporate Governance Institute (ECGI) to be the Editor-in-Chief of the ECGI Blog, which aims to be a global voice on corporate governance and shareholder stewardship

2022 - Present Singapore Academy of Law (SAL), Commissioning Advisory Panel, Member

 Appointed by the Chair of the SAL Commissioning Advisory Panel to be a Member of the Panel to advise on book proposals and other matters related to books published under the Academy Publishing Imprint

2022 - Present National University of Mongolia Law Review, Editorial Board Member

 Appointed by the National University of Mongolia School of Law to be a Member of the Editorial Board of the National University of Mongolia Law Review

2021 - Present European Corporate Governance Institute, Research Member

 Appointed as a Research Member of the European Corporate Governance Institute (ECGI) based on the quality of my research publications and contribution to the field of corporate governance

2020 - Present Asian Law Centre, Melbourne Law School, Associate

• Appointed as an Associate of Melbourne Law School's Asian Law Centre

2020 - Present Asian Journal of Comparative Law, Cambridge University Press, Editorial Board Member

 Appointed as an Editorial Board Member of the Asian Journal of Comparative Law which is published by Cambridge University Press

2020 - Present The Chinese Journal of Comparative Law, Oxford University Press, Editorial Board Member

• Appointed as an Editorial Board Member of The Chinese Journal of Comparative Law which is published by Oxford University Press

2019 - Present Central Asian Yearbook of International Law and International Relations, Advisory Board Member

 Appointed as a Member of the Advisory Board for the Central Asian Yearbook of International Law and International Relations

2019 - Present Hong Kong Journal of Law and Public Affairs (HKJLPA), Honorary Editorial Board Advisors, Member

Appointed as an Honorary Editorial Board Advisors Member of the HKJLPA

2019 - Present SNU Asia-Pacific Law Institute, Supervisory Council Board Member

 Appointed as a Member of the Supervisory Council for the Seoul National University Asia-Pacific Law Institute

2017 - Present The International Academy of Comparative Law, Associate Member

 Elected by the International Academy of Comparative Law to be an Associate Member of the Academy

2017 - Present Korea Legislation Research Institute (KLRI) Journal of Law, Editorial Board Member

• Appointed as an Editorial Board Member of the KLRI Journal

2016 - Present American Journal of Comparative Law, External Peer Reviewer

• Acted as an external peer reviewer for the American Journal of Comparative Law

2014 - Present Singapore Law Review, Member of the Board of Advisors

• Appointed by the Singapore Law Review to be a Member of its Board of Advisors

2012 - Present The Asian Business Lawyer, Editorial Board

 Appointed by the Legal Research Institute of Korea University to serve as a member of the Journal's editorial board

2012 - Present Member of the Inquiry Panel, Singapore Legal Profession Act

• Appointed by the Honourable Chief Justice of Singapore to serve as a lay person on the Inquiry Panel which is involved in handling complaints against lawyers in Singapore

2010 - Present Max Planck Institute's Journal of Japanese Law, Editorial Board Member

• Appointed by the Journal to serve as a member of its editorial board

2010 - Present Kyushu University's Journal of International Legal Studies, Editorial Board Member

 Appointed by Kyushu University Faculty of Law to serve as a member of the Journal's editorial board

2008 - Present Kyushu University Ambassador of Friendship to Canada

 Appointed by the President of Kyushu University as an Ambassador of Friendship to Canada on behalf of the University

2022 - 2023 Corporate Law Chapter, Asian Law Schools Association, Co-Chair

 Appointed by the President of the Asian Law Schools Association to be Co-Chair of the Corporate Law Chapter of ALSA

2021 - 2023 European Corporate Governance Institute, Co-Editor-in-Chief, ECGI Blog

• Appointed by the European Corporate Governance Institute (ECGI) to be the inaugural Co-Editor-in-Chief of the ECGI Blog, which aims to be a global voice on corporate governance and shareholder stewardship

2021 - 2022 NUS Law Faculty Teaching Excellence Subcommittee, Chair

Appointed as the Chair of the NUS Law Faculty Teaching Excellence Subcommittee
which was designed to improve the quality of teaching in the faculty through teaching
seminars and other initiatives

2020 - 2022 Major International Arbitration, Expert Opinion

 Provided two expert opinions in a major international arbitration involving a high stakes corporate law dispute concerning complex issues of comparative corporate law

2008 - 2022 Australian Network for Japanese Law, ASEAN Convener

 Developing research and teaching projects for the promotion of Japanese Law in South East Asia

2020 - 2021 NUS Law Faculty Teaching Excellence, Member

Appointed as a Member of the NUS Law Faculty Teaching Excellence Committee
which was designed to improve the quality of teaching in the faculty through teaching
seminars and other initiatives

2020 - 2021 Moscow University Herald, Series 11, Law, International Editorial Board Member

 Appointed as an International Editorial Board Member of the Moscow University Herald, Series 11, Law, published by Lomonosov Moscow State University

2016 - 2020 Russia-China Law Society, Executive Board Member

 Appointed by Moscow State University to be an Executive Board Member of the Russia-China Law Society

2016 - 2020 NUS Centre for Asian Legal Studies (CALS), Director

Served as the Director of the NUS Centre for Asian Legal Studies (CALS)

2016 - 2020 Asian Journal of Comparative Law, Cambridge University Press, Editor-in-Chief

• Served as the Editor-in-Chief of the Asian Journal of Comparative Law

2018 - 2019 Major International Arbitration, Expert Opinion

 Provided an expert opinion and testimony in a major international arbitration involving a multi-billion-dollar infrastructure project on issues concerning Philippine corporate law and comparative corporate law

2018 - 2019 Inaugural Asian Law Junior Faculty Workshop, Co-Organizer

Co-organized and launched the Inaugural Asian Law Junior Faculty Workshop, which
was held at NUS Law on June 13, 2019
(https://www.youtube.com/watch?v=qPfKIDNfB44)

2015 - 2019 ACRA Institute of Corporate Law (ICL), Roundtable Panel Member

 Appointed by the Chief Executive of ACRA to serve as a member of the Roundtable Panel which aims to raise topics for corporate law reform and discuss topical issues pertaining to the development of corporate law in Singapore

2016 - 2018 Singapore Academy of Law (SAL), Law Reform Committee, Member

 Appointed by Justice Judith Prakash to be a Member of the SAL Law Reform Committee

2017 The University of Chicago Law School @ NUS Law, Co-organizer & Speaker

- Invited by The University of Chicago Law School to co-organize a series of lectures at NUS Law for their JD students study trip to Singapore
- Hosted JD students, provided an introduction to Singapore law, and a lecture on "State owned enterprises in Singapore: A model for corporate governance reform?", March 17, 2017

2016 Nagoya University Centre for Asian Legal Exchange (CALE), Special Guest

 Invited by CALE to be a special guest for the opening of their new building for the Centre

2015 - 2016 Asian Journal of Comparative Law, Editor Appointed by the Asian Journal of Comparative Law to act as an editor for the Journal 2015 The Journal of Corporate Law Studies, External Peer Reviewer Acted as an external peer reviewer for the Journal of Corporate Law Studies 2015 The Oxford University Commonwealth Law Journal, External Peer Reviewer Acted as an external peer reviewer for the Oxford University Commonwealth Law Iournal 2014 - 2016 NUS Faculty of Law, Centre for Law & Business (CLB), Board Member & Director (Corporate Law) Appointed by the NUS Centre for Law & Business (CLB) as member of the board and Director of corporate law 2014 Mongolian Government, Corporate Law and Governance Advisor Met with the Legal Policy Advisor to the President of Mongolia to provide advice on corporate governance issues related to state owned enterprises and sovereign wealth funds, May 29, 2014 2014 Japanese Ministry of Justice Corporate Disclosure Project (Singapore), Organizer and Participant Organized and participated in meetings between representatives of the Japanese Ministry of Justice (MOJ), the Accounting and Corporate Regulatory Authority of Singapore (ACRA) and the Singapore Exchange Limited (SGX) related to the MOJ's project on corporate disclosure, March 31 to April 1, 2014 2014 Asian Journal of Law and Society, External Peer Reviewer Acted as an external peer reviewer for the Asian Journal of Law and Society 2013 - 2015 **NUS Faculty of Law, Faculty Teaching Excellence Committee (FTEC), Executive Committee Member** Appointed by the Dean to the FTEC as an executive committee member 2013 - 2014 NUS Faculty of Law, Centre for Law & Business, Fellow Appointed by the Centre for Law & Business as a Fellow 2013 The University of Tokyo Faculty of Law, Invited Guest Invited by the Faculty of Law to discuss the development of research projects in the areas of comparative commercial and corporate law, November 16-20, 2013 2013 Legal Expert on a Leading Derivative Actions Case, Singapore Asked by a leading Singapore lawyer to provide an expert opinion on legal issues relating to a derivative actions case before the Singapore court

Committee Member
Appointed by the Dean to be a member of the Executive Committee for the Faculty of

NUS Faculty of Law Centre for Asian Legal Studies (CALS), Executive

2012 - 2016

Law's Centre for Asian Legal Studies (CALS)

| 2012 - 2014 | NUS Faculty of Law Curriculum Review Committee, Member |
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| | Appointed by the Dean to be a member of the Faculty of Law's Curriculum Review Committee |
| 2012 - 2013 | American Society of Comparative Law, Committee Member |
| | Appointed as a member to serve on the Linkages & Engagement Advisory Group of the Younger Comparativists Committee in the American Society of Comparative Law |
| 2010 - 2014 | Asian Journal of Comparative Law, Article Reviewer |
| | Acted as an anonymous reviewer on several occasions for articles submitted to the Asian Journal of Comparative Law |
| 2010 - 2012 | NUS Faculty of Law Continuing Legal Education Committee, Member |
| | • Appointed by the Dean to be a member of the Faculty of Law's CLE committee |
| 2010 - 2012 | NUS Faculty of Law Curriculum Review Steering Committee, Member |
| | Appointed by the Dean to be a member of the Faculty of Law's Curriculum Review Steering Committee |
| 2010 - 2012 | NUS Faculty of Law Centre for Asian Law Steering Committee, Member |
| | Appointed by the Dean to be a member of the Faculty of Law's Centre for Asian Law Steering Committee |
| 2010 - 2012 | Santa Clara University School of Law Summer Program, Lecturer |
| | Taught the corporate law component of a course on Comparative Law in South East Asia |
| 2012 | Conducting Empirical Legal Scholarship Workshop, Participant |
| | Completed a three-day workshop on conducting empirical legal scholarship at the University of Southern California Gould School of Law |
| 2012 | Nagoya University Faculty of Law, Doctoral Thesis Examination Committee Member |
| | Appointed by the Faculty to act as an external examiner for an LL.D candidate completing her thesis on comparative corporate law |
| 2011 | Legal Expert on a Leading Derivative Actions Case, Singapore |
| | Asked by Singapore's former Attorney General to provide an opinion on legal issues relating to a derivative actions case before Singapore's highest court |
| 2010 | Singapore Companies Act Selective Consultation Member |
| | Provided written comments (together with other NUS Faculty of Law colleagues) to the Singapore Government Steering Committee on proposed revisions to the Companies Act |
| 2009 - 2014 | Singapore Institute of Legal Education, Company Law, Lecturer |
| | Co-developer and lecturer of the Company Law component for the new Bar admission course which foreign educated lawyers can take to prepare for the Singapore Bar Co-marker for the Company Law component of the Singapore Bar Exam |
| 2009 | National University of Mongolia Law School, Invited Lecturer |

Invited by the Faculty of Law to provide a series of lectures on comparative corporate law and governance

2009 Australian Network for Japanese Law, Tokyo Program, Lecturer

• Taught part of an intensive course on Japanese Law and the Economy organized by Australia National University, University of New South Wales, University of Sydney and Ritsumeikan University

2008 - 2009 FPT Capital, Senior Consultant

2008

 Advised a prominent Vietnamese investment fund with respect to the potential for raising capital in the Canadian market though a private placement of shares and/or initial public offering

Australian Network for Japanese Law, Tokyo Program, Lecturer

 Taught part of an intensive course on Japanese Law and the Economy organized by Australia National University, University of New South Wales, University of Sydney and Ritsumeikan University

2003 - 2005 Law Day Committee, Ontario Bar Association, Canada

 Lead organizer and teacher of an interactive legal educational seminar for 120 junior high school students

1998 - 2001 Dean's Advisory Committee on Ethnicity and Culture, University of Victoria, Faculty of Law, Canada

• Participated in the organization of the annual cultural festival for the University of Victoria, Faculty of Law

1997 - 1998 Track and Field Coach, Shinwa Town, Japan

Assisted students in training for athletic competitions

1997 Research Assistant, St. Amant Centre, Winnipeg, Canada

 Worked extensively with developmentally challenged individuals in a behavioural psychology study aimed to improve their quality of life

1996 - 1997 Tutor of Behavioural Statistics, University of Manitoba, Canada

• Volunteer tutor for students facing difficulty with behavioural statistics

1996 - 1997 International Student Centre, University of Manitoba, Canada

• Organized and taught drop-in conversational English classes

Winnipeg John Howard Society, Friendship Program, Canada

• Helped young offenders learn positive forms of social interaction

Manitoba Special Olympics, Canada

Fundraiser for the Law Enforcement Torch Run

RESEARCH PROJECTS

1995

1994

2019 - 2022 King's College London, the European Corporate Governance Institute (ECGI), NUS Law E.W. Barker Centre for Law & Business (EWBCLB), and NUS Law Centre for Asian Legal Studies (CALS), Co-organizer

 Co-organized a major conference with Dionysia Katelouzou on Global Shareholder Stewardship at King's College London in September 2019: https://ecgi.global/content/global-shareholder-stewardship-conference

- The Conference launched a project on Global Shareholder Stewardship and produced an edited volume, published by Cambridge University Press, which was authored by leading corporate law professors from every jurisdiction which has adopted a stewardship code and major economies which are considering adopting one
- Significant funding and support for the project was received from the Dickson Poon School of Law, King's College London, the Transnational Law Institute, King's College London, the British Academy's Partnership with the Department for Business, Energy and Industrial Strategy, the ESRC Social Science Impact Fund, the European Corporate Governance Institute (ECGI), the NUS Law Centre for Asian Legal Studies (CALS) and the EW Barker Centre for Law & Business (EWBCLB)

2018 - 2020 EW Barker Centre for Law & Business (EWBCLB), Co-Principal Investigator

- Co-organized with Randall Thomas a joint research project involving Vanderbilt Law School and NUS Law (EWBCLB) on "Comparative Corporate Law and Governance: Asian and Global Perspectives"
- The project involved a joint conference at NUS Law in July 2019
- Received an approximately S\$25,000 grant from EWBCLB to co-fund this project with additional funding provided by Vanderbilt Law School
- The research project successfully produced a special issue in the Vanderbilt Journal of Transnational Law

Stanford Law School, National University of Singapore Centre for 2018 Asian Legal Studies (CALS) and EW Barker Centre for Law & Business (EWBCLB), Co-organizer

- Co-organized with Michael Klausner, Curtis Milhaupt, and Tan Cheng Han a workshop on "Business Transactions and Corporate Governance in Asia" in September 2018, with a follow up meeting to be held at NUS Law in March 2020
- Funding and support were provided for the conference by CALS & EWBCLB

2017 - 2018 **National University of Singapore Centre for Asian Legal Studies** (CALS) and EW Barker Centre for Law & Business (EWBCLB), Co-**Principal Investigator**

- Co-organized with Steven Davidoff Solomon, Stavros Gadnis, Umakanth Varottil, Wan Wai Yee, and Samuel Weinstein, a joint research project involving Berkeley Law, NUS Law (CALS and EWBCLB) and SMU Law on "Comparative Corporate Governance in Asia and the United States"
- The project involved conferences at Berkeley Law (February 2017) and NUS Law (January 2018)
- Received an approximately S\$35,000 grant from CALS and EWBCLB to co-fund this project with additional funding provided by Berkeley Law and SMU Law
- The research project output was two special issues in the Berkeley Business Law Journal focusing on comparative corporate law in Asia and the United States

2016 - 2018 **National University of Singapore Centre for Asian Legal Studies** (CALS), Principal Investigator

- Organized a small closed conference at NUS Law on "Corporate Governance in Asia" (February 22-23, 2016)
- The conference was a follow up to a successful conference funded by Hitotsubashi University organized by Bruce Aronson with the aim of producing a textbook on Corporate Governance in Asia
- Received an approximately \$\$10,000 grant from the NUS Centre for Asian Legal Studies (CALS) for the conference

- The conferences produced a textbook on Corporate Governance in Asia which was published by Cambridge University Press in 2018
- The textbook was edited by Bruce Aronson and Joongi Kim, with significant contributions from several NUS Law faculty members (including myself)

2014 - 2017 National University of Singapore Centre for Asian Legal Studies (CALS) and EW Barker Centre for Law & Business (EWBCLB), Principal Investigator

- Organized a conference at NUS Law on "Independent Directors in Asia" (February 26-27, 2015)
- Received an approximately S\$75,000 grant from CALS and EWBCLB for the conference and publication
- The NUS Law conference was part of a joint book project, which built on a conference organized by Harald Baum and funded by, and held at, the Max Planck Institute for Comparative and International Private Law (in cooperation with the Japanese-German Centre Berlin and German-Japanese Association of Jurists), on "Independent Directors in Japan and Other Major Asian Jurisdictions" (July 17-18, 2014)
- The research project produced a co-edited/co-authored book on "Independent Directors in Asia" published by Cambridge University Press in 2017 in which I was the Lead Editor and co-author of 3 chapters
- The research project also produced a co-authored article (with Luh Luh Lun) published in the American Journal of Comparative Law (AJCL) on Independent Directors in Singapore (which was the first article published on Singapore law in the AJCL since Singapore's independence in 1965)

2013 - 2016 National University of Singapore, Principal Investigator

- Received an approximately S\$43,000 AcRF grant for a research project entitled "Comparative Corporate Law and Governance in Asia: Complexity Revealed"
- The project re-examined the foundational theories of comparative corporate law—which have been largely derived from the Anglo-American experience—by examining them through the lens of corporate law and governance in Asia's leading economies
- The project resulted in the creation of the theory of "External Benefits of Control"
 which was developed into a book chapter and has laid the foundation for a future book
 project on comparative corporate governance in Asia

2013 - 2014 National University of Singapore Centre for Law & Business (CLB) and Vanderbilt Law and Business Program, Co-Principal Investigator

- Co-organizing, with Randall Thomas, two joint conferences at Vanderbilt Law School (September 26-27, 2013) and NUS Law (March 6-7, 2014) on "Shareholder Power"
- Received an approximately \$100,000 joint grant for the two conferences from the NUS Centre for Law & Business and the Vanderbilt Law and Business Program
- The conference resulted in an edited volume by Randall Thomas and Jennifer Hill on "Shareholder Power" which included several important contributions from NUS Law faculty members and several other leading corporate law professors from around the world

2009 - 2013 National University of Singapore, Principal Investigator

- Lead organizer, co-editor, and author of multiple chapters of a book project on Derivative Actions in Asia
- Received an approximately S\$70,000 AcRF research grant for the book project
- The book included authors from the University of Cambridge, Max Planck Institute, Seoul National University, Nagoya University, National Taiwan University, National University of Singapore, Hong Kong University, The Chinese University of Hong Kong, Monash University, George Washington University and University of Michigan

- The Derivative Actions in Asia Conference was held at the National University of Singapore on July 23-24, 2010
- The conference book was published by Cambridge University Press and has received positive reviews and endorsements from numerous leaders in the fields of comparative law and comparative corporate law
- My article produced as a result of this research project was awarded the Best Paper
 Prize at the Corporate Law Teachers Conference—the flagship annual conference for
 the Australian Corporate Law Teachers Association which attracts leading Australian
 and international corporate law scholars

2009 - 2012 National University of Singapore, Principal Investigator

- Received a S\$30,000 AcRF research grant for a 3-year project on Comparative Corporate Governance in Asia
- The project produced an influential article in the field of comparative and Japanese corporate law, resulted in numerous invitations to lecture in leading law schools around the world and formed the foundation for a larger project on the derivative action in Asia

2006 - 2007 Kyushu University Graduate School of Law, Principal Investigator

- The Corporate Governance in East Asia Conference, February 10, 2007
- Funding for the project of approximately \$30,000 received from the Japanese Government, Kyushu University and Skadden LLP
- Lead organizer of the conference which included contributors from Harvard, Duke, Peking University, Seoul National University and Skadden LLP and over 60 participants
- Negotiated the conference sponsorship agreement with Skadden LLP
- The conference materials were published as a special issue of the Asian-Pacific Law & Policy Journal

ACADEMIC PRESENTATIONS

2024 Oxford University & National University of Singapore, Inaugural International Forum on Asian Laws, Invited Commentator

• Invited to comment on two papers focused on comparative law and corporate law in Asia at the Inaugural International Forum on Asian Laws, August 1, 2024

2024 Global Corporate Governance Colloquia (GCGC), Columbia University Law School, Invited Presenter

- Paper co-authored with Aurelio Gurrea-Martinez, Geneviève Helleringer, and Wolf-Georg Ringe selected as one of twelve papers for presentation at the 2024 GCGC, which is widely recognized as the world's leading corporate governance conference, June 15, 2024
- "The Global Corporate Purpose Continuum: The Case for Diversity" [video of presentation, <u>click here</u>]

2024 Chulalongkorn Law School, 21st Asian Law Institute Conference, Invited Presenter

- Selected by ASLI to present my research with Umakanth Varottil at the 21st Asian Law Institute Conference, May 29-30, 2024
- "Climate-Related Shareholder Activism as Corporate Democracy: A Call to Reform "Acting in Concert" Rules"

2024 SMU Yong Pung How School of Law, Lunchtime Seminar, Organizer & Presenter

- Organized and presented with Roza Nurgozhayeva our latest corporate law and governance research
- "Corporate Purpose Beyond Borders: A Key to Saving Our Planet or Colonialism Repackaged?", April 8, 2024

2024 The University of Tokyo, Faculty of Law, Invited Presenter

2024

2023

- Invited by The University of Tokyo, Faculty of Law, to present my latest research on comparative corporate law, May 16, 2024
- "Corporate Purpose Beyond Borders: A Key to Saving Our Planet or Colonialism Repackaged?" (with Roza Nurgozhayeva)

2024 Inaugural Asian Corporate Law Forum, SMU Yong Pung How School of Law, Organizer and Presenter

- Organized the <u>Inaugural Asian Corporate Law Forum</u> (ACLF), April 12-13, 2024
- The goal of the ACLF is to be the preeminent organisation in the world for scholarship on corporate law and governance in Asia
- Presented "The Unanticipated Abolition of Independent Directors in Indonesia: Rationally Autochthonous or Foolishly Idiosyncratic?" (with Royhan Akbar)

2024 Climate and Corporate Governance Conference, SMU Yong Pung How School of Law, Organizer and Speaker

 Organized and spoke at a seminar on "Climate and Corporate Governance" which brought together several of the foremost thought-leaders on corporate law, governance, and sustainability, April 11, 2024

SMU-Edinburgh ESGT Conference, SMU Yong Pung How School of Law, Presenter

- Invited by the conference organizers to present my corporate governance research and to focus on the implications for ESG, corporate governance and tax, April 8, 2024
- "Corporate Purpose Beyond Borders: A Key to Saving Our Planet or Colonialism Repackaged?" (with Roza Nurgozhayeva)

Workshop on Corporate Purpose, SMU Singapore Green Finance Centre, SMU Centre for Commercial Law in Asia (CCLA), European Corporate Governance Institute (ECGI), Speaker

- Invited to present my latest research on corporate purpose in Asia: "No Need for Asia to be Woke: Contextualising Anglo-America's 'Discovery' of Corporate Purpose"
- Participated in a panel discussion with Professor Caroline Flammer (Columbia University) about the latest research on corporate purpose, November 27, 2023

2023 Jones Day Professorship of Commercial Law Lecture 2023, Co-Organizer & Speaker

- Co-organised the Jones Day Professorship of Commercial Law Lecture 2023, which
 featured a presentation by Emeritus Professor Kon Sik Kim (Seoul National University)
 and a Panel Moderated by Professor Lee Pey Woan which included Justice Vinodh
 Coomaraswamy, Professor Kon Sik Kim and Mr Dennis Barsky
- Provided introductory speech for Professor Kon Sik Kim, November 21, 2023

2023 Third International Conference on Law, Public Policy and Human Rights (ICLaPH), NTU Alumni House, Singapore, Keynote Speaker

- Invited by ICLaPH to be the Keynote Speaker at the Third International Conference on Law, Public Policy and Human Rights, November 9, 2023
- "No Need for Asia to be Woke: Contextualising Anglo-America's 'Discovery' of Corporate Purpose"

2023 Droit & Croissance, Speakers Series, Speaker

- Invited by the leading French think tank, Droit & Croissance, to present my new coauthored working paper (with Umakanth Varottil) in their online speakers' series, November 9, 2023
- "Rethinking Acting in Concert: Activist ESG Stewardship is Shareholder Democracy"

2023 Dentons Rodyk Dialogue 2023, Singapore Management University, Speaker & Panel Discussant

- Invited by Dentons Rodyk to present my recent research on shareholder stewardship in Singapore as one of the speakers at the Dentons Rodyk Dialogue 2023: "Singapore's Unique Approach to Shareholder Stewardship: A Driver of Sustainability in Asia?", November 2, 2023
- Participated in a Panel discussion with Mr Edwin Tong (Minister for Culture, Community and Youth, and Second Minister for Law), Mr Mark Tham (Country Managing Director, Accenture Singapore), Mr Zhulkarnain Abdul Rahim (Senior Partner, Dentons Rodyk) and Mr Gerald Singham (Global Vice-Chair and ASEAN CEO, Dentons) [click here for highlights of the event]

2023 SMULA Seminar, Yong Pung How School of Law, Speaker

- Invited by SMULA to present research on shareholders' remedies in Singapore, October 5, 2023
- "Minority Shareholders' Remedies in Singapore: Recent Developments and Legal Strategies"

2023 Cleary Gottlieb Law Prize Lecture, The 2023 ECGI Annual Meeting, Copenhagen Business School, Keynote Speaker

- Invited by ECGI to receive the 2023 Cleary Gottlieb Law Prize and present my award winning paper at the 2023 ECGI Annual Meeting, September 22, 2023
- "No Need for Asia to be Woke: Contextualising Anglo-America's 'Discovery' of Corporate Purpose" [click here for video]

2023 Discussion Dinner with Professor Dan Puchniak, Centre for Climate Engagement, Hughes Hall, University of Cambridge, Keynote Speaker

- Invited by the Centre for Climate Engagement at Hughes Hall, Cambridge University, to discuss my recent research and explain its implications for climate change, September 18, 2023
- "No Need for Asia to be Woke: Contextualising Anglo-America's 'Discovery' of Corporate Purpose to Save Our Planet"

2023 Corporate Purpose: Theoretical and Empirical Foundations Seminar, SMU Yong Pung How School of Law, Commentator

- Acted as a commentator on Holger Spamann's (Harvard Law School) latest research on corporate purpose, August 24, 2023
- Comments on "Corporate Purpose: Theoretical and Empirical Foundations"

2023 The University of Tokyo, Faculty of Law, Invited Speaker

- Invited by The University of Tokyo Faculty of Law to present my latest research on comparative corporate law in Asia, August 4, 2023
- "No Need for Asia to be Woke: Contextualising Anglo-America's 'Discovery' of Corporate Purpose"

2023 Seoul National University, Global Corporate Governance Colloquia (GCGC), Invited Discussant

- Invited by the GCGC Scientific Committee to participate in the conference as a discussant for a paper presented by Professors Jennifer Hill & Tim Bowley (Monash University), June 15-16, 2023
- Comments on "The Global ESG Stewardship Ecosystem"

2023 Korea Legislative Research Institute, Invited Speaker

- Invited by the Korea Legislative Research Institute to present my latest corporate governance research, June 14, 2023
- "The False Hope of Stewardship in the Context of Controlling Shareholders: Making Sense out of the Global Transplant of a Legal Misfit"

2023 Korea Legislative Research Institute, Invited Speaker

- Invited by the Korea Legislative Research Institute to present my latest corporate governance research, June 13, 2023
- "No Need for Asia to be Woke: Contextualising Anglo-America's 'Discovery' of Corporate Purpose"

2023 Asian Corporate Law Forum Stewardship & ESG Seminar, Yong Pung How School of Law, Organizer and Speaker

- Organizer and presenter at a seminar on stewardship and ESG in Asia which included leading corporate law scholars from across Asia, June 9, 2023
- "Illuminating the Future of Corporate Governance in Asia: Shareholder Stewardship and ESG"

2023 Asian Corporate Law Forum Launch Meeting, Yong Pung How School of Law, Organizer and Founding Director

• Launched the Asian Corporate Law Forum which aims to establish itself as the preeminent annual forum, facilitating collaboration among leading scholars of Asian corporate law and governance. Founding Institutional Members are: Chulalongkorn University Faculty of Law, City University of Hong Kong Faculty of Law, Jindal Global Law School, Monash University Business and Law Schools, National Taiwan University College of Law, Peking University Law School, Seoul National University School of Law, Singapore Management University Yong Pung How School of Law, and the University of Tokyo Graduate Schools for Law and Politics, June 9, 2023

2023 Vanderbilt Law School, 25th Annual Law and Business Conference, Invited Presenter

- Invited by Vanderbilt Law School to present a new research paper (co-authored with Umakanth Varottil) at the law school's 25th Annual Law and Business Conference, April 14, 2023
- "Activist ESG Stewardship and Acting in Concert Rules: A Recipe for Faux Green Activism?"

2023 Baker McKenzie, Advancing Sustainable Business Operations AP Series, Speaker

- Invited by Baker McKenzie to present my latest stewardship research as part of the firm's Advancing Sustainable Business Operations AP Series, March 13, 2023
- "The False Hope of Shareholder Stewardship in the Context of ESG: An Asian and Global Legal Misfit"

2023 Thammasat University, Faculty of Law, Invited Keynote Speaker

• Invited by Thammasat University to provide two lectures on my recent comparative corporate law research, February 27-28, 2023

- "No Need for Asia to be Woke: Contextualising Anglo-America's 'Discovery' of Corporate Purpose"
- "The False Hope of Stewardship in the Context of Controlling Shareholders: Making Sense out of the Global Transplant of a Legal Misfit"

2023 A Need to Rethink ESG? Global Perspectives, SMU Yong Pung How School of Law, Speaker

- Presented in a seminar with Jesse Fried (Harvard Law School) and Hao Liang (Lee Kong Chian School of Business, SMU) – my research on stewardship and ESG with a focus on Asia, February 21, 2023
- "The False Hope of Stewardship in the Context of Controlling Shareholders: Making Sense out of the Global Transplant of a Legal Misfit"

2022 SMULA Seminar, Yong Pung How School of Law, Speaker

- Invited by SMULA to present research on shareholders' remedies in Singapore, December 2, 2022
- "A Bird's Eye View of the Current Law of Minority Shareholders' Remedies in Singapore: Highlights, Developments, and Strategies"

2022 University of Indonesia, Faculty of Law, Invited Keynote Speaker

- Invited by The University of Indonesia Faculty of Law to provide two lectures on my recent comparative corporate law research, November 21 & 23, 2022
- "No Need for Asia to be Woke: Contextualising Anglo-America's 'Discovery' of Corporate Purpose"
- "The False Hope of Stewardship in the Context of Controlling Shareholders: Making Sense out of the Global Transplant of a Legal Misfit"

2022 Adrem Law Firm, Jakarta, Invited Keynote Speaker

- Invited by Adrem Law Firm to present my recent research on comparative corporate law in Asia, November 23, 2022
- "Corporate Law and ESG in the New Economic Era in Asia"

2022 National University of Singapore, Centre for Asian Legal Studies (CALS) 10th Anniversary, Invited Speaker

- Invited by the NUS Centre for Asian Legal Studies to share my ideas on the past, present, and future of corporate law research in Asia, November 11, 2022
- "Corporate Law Research in Asia: Past, Present, and Future"

2022 Tsinghua University School of Law, 21st Century Commercial Law Forum: 20th International Symposium 2022, Invited Keynote Speaker

- Invited by Tsinghua University School of Law to present my latest comparative corporate law research, October 29, 2022
- "No Need for Asia to be Woke: Contextualising Anglo-America's 'Discovery' of Corporate Purpose"

2022 The National Legal Institute of Mongolia, Invited Speaker

- Invited by The National Legal Institute of Mongolia to present my recent comparative corporate law research, September 26, 2022
- "No Need for Asia to be Woke: Contextualising Anglo-America's 'Discovery' of Corporate Purpose"

The University of Tokyo, Faculty of Law, Global Shareholder Stewardship, Keynote Speaker

• Invited by The University of Tokyo's Commercial Law Centre to present my research on stewardship and launch my co-edited book "Global Shareholder Stewardship", September 22, 2022

2022 ECGI & The Raymond Ackerman Family Chair in Israel Corporate Governance Conference, Bar Ilan University, Invited Presenter

- Invited by Professor Beni Lauterbach to present my latest research on shareholder stewardship at the <u>ECGI & The Raymond Ackerman Family Chair in Israel Corporate</u> Governance Conference, June 7-8, 2022
- "The False Hope of Stewardship in the Context of Controlling Shareholders: Making Sense out of the Global Transplant of a Legal Misfit"

2022 Saïd Business School, Oxford University, Global Corporate Governance Colloquia (GCGC), Invited Chair

2022

2021

• Invited by the GCGC Scientific Committee to Chair presentations on the gender pay gap and workplace inequality by leading business school professors, June 3, 2022

2022 King's College London, Dickson Poon School of Law, School of Transnational Law, Guest Panellist and Co-Editor's Book Launch

• Invited by Dionysia Katelouzou to participate in a panel on "<u>Investor Stewardship in an Uncertain World: Pandemic(s)</u>, <u>Climate Change and Global Conflicts</u>" and to co-launch the <u>Global Shareholder Stewardship</u> book, May 27, 2022

Peking University, School of Transnational Law, Guest Lecturer

- Invited by Professor Sang Yop Kang to provide a guest lecture to his class on my recent co-authored article (with Professor Lin Lin) on Chinese corporate governance, April 16, 2022
- "Institutional Investors in China: Corporate Governance and Policy Channelling in the Market Within the State"

2022 Monash University, Centre for Commercial Law and Regulatory Studies (CLARS), Invited Speaker

- Invited by Professor Jennifer Hill to present my recent research on shareholder stewardship (with Dionysia Katelouzou), April 5, 2022
- "Global Shareholder Stewardship: Complexity Revealed"

2021 Yale Law School, Global Corporate Governance Colloquia (GCGC), Invited Discussant

- Invited by the GCGC Scientific Committee to participate in the conference as a discussant for a paper presented by Professor Woochan Kim, June 11-12, 2021
- Comments on "Tunneling Through Trademarks"

National University of Singapore Faculty of Law & Vanderbilt Law School, Invited Presenter

- Invited by Paolo Giudici, Randall Thomas, and Umakanth Varottil to present my joint research with Samantha Tang on shareholder inspection rights in Singapore, June 4, 2021
- "Limited Shareholder Inspection Rights in Singapore: Worrying Legal Gap or Unnecessary for Rankings?"

2021 Fundação Getulio Vargas (FGV) Law School, São Paulo, Guest Lecturer

- Invited by Professor Mariana Pargendler to provide a lecture on my recent comparative corporate law research, May 11, 2021
- "The False Hope of Stewardship in the Context of Controlling Shareholders: Making Sense Out of the Global Transplant of a Legal Misfit"

2020 Ira M. Millstein Center at Columbia University Law School, Invited Speaker

- Invited by the Ira M. Millstein Center at Columbia University to present my latest research on shareholder stewardship at a conference on "<u>Rethinking Stewardship</u>", October 23, 2020
- "The False Hope of Stewardship in the Context of Controlling Shareholders: Making Sense Out of the Global Transplant of a Legal Misfit"

2020 OECD-Asian Roundtable on Corporate Governance, Invited Speaker

- Invited by the OECD to present my latest research on corporate groups in Singapore and Asia at the <u>2020 OECD-Asian Roundtable on Corporate Governance</u>, October 19, 2020
- "Regulating Group Companies in Singapore: Risking Myopia by Failing to Understand the Law in Action"

Pontifical Catholic University of Peru, Faculty of Law, Invited Speaker

- Invited by Pontifical Catholic University of Peru, Faculty of Law, to present my latest research on comparative corporate law and governance, July 1, 2020
- "Covid-19: The Start of History for Asian Corporate Law?"

2020 GCGC Conference: Global Webinar, Invited Speaker

2020

2019

2019

2019

- Invited by the ECGI and the GCGC to present my thoughts, with other corporate governance leaders, on Covid 19 and corporate governance, April 16, 2020
- "Covid-19: The Start of History for Asian Corporate Law?"

King's College London, Dickson Poon School of Law, Global Shareholder Stewardship Conference, Co-Organizer & Presenter

- Co-organized (with Dionysia Katelouzou) a conference, which included leading corporate law professors and policymakers from every jurisdiction that has a stewardship code
- Co-presented an overview of the book project on Global Shareholder Stewardship and presented a paper on "Shareholder Stewardship in Asia", September 23-24, 2019

2019 University of Tokyo, Faculty of Law, The 59th Comparative Law and Politics Symposium, Invited Presenter

- Invited by the University of Tokyo to present my latest research on comparative corporate law and governance in Asia, August 5, 2019
- "Shareholder Stewardship in Asia & Singapore"

NUS Law & Vanderbilt Law School, Comparative Corporate Law & Governance Conference, Co-organizer & Presenter

- Co-organized a conference with Randall Thomas at NUS Law on Comparative Corporate Law & Governance and presented a paper, July 25-26, 2019
- "Diversity of Shareholder Stewardship in Asia: Faux Convergence" (with Gen Goto & Alan Koh)

NUS Law, 16th Asian Law Institute Conference, Invited Presenter

- Selected by ASLI to present my research at the 16th Asian Law Institute Conference, June 11-12, 2018
- "Related Party Transactions in Commonwealth Asia: Complicating the Comparative Paradigm" (with Umakanth Varottil)

2019 Goethe University Frankfurt, Global Corporate Governance Colloquia (GCGC), Invited Presenter

 Paper co-authored with Umakanth Varottil selected as one of twelve papers for presentation at the 2019 GCGC (http://gcgc.global), which is widely recognized as the world's leading corporate governance conference

- Presented selected paper at the 2019 GCGC held at the House of Finance at Goethe University Frankfurt, Germany on 7 and 8 June 2019
- "Related Party Transactions in Commonwealth Asia: Complicating the Comparative Paradigm"

2019 Columbia Law School, Blue Sky Meetings, Invited Speaker

- Invited by Columbia Law School to present my latest research on comparative corporate law in Asia in their Blue Sky Meetings series, March 6, 2019
- "Related Party Transactions in Commonwealth Asia: Complicating the Comparative Paradigm" (with Umakanth Varottil)

2019 Stanford Law School, Faculty Seminar, Invited Speaker

- Invited by Stanford Law School to present my latest research on comparative corporate law in Asia to their corporate law professors, February 15, 2019
- "Related Party Transactions in Commonwealth Asia: Complicating the Comparative Paradigm" (with Umakanth Varottil)

2018 Ibero-American Institute for Law and Finance IV Annual Conference, Keynote Speaker

- Invited by Ibero-American Institute for Law and Finance to be a keynote speaker at their annual conference held at the Brazil Stock Exchange to present and extend upon my research on independent directors in Asia, December 13-14, 2018
- "Independent directors in Asia: Lessons for Latin America?"

2018 Harvard Law School, New Perspectives in Japanese Law Conference, Invited Participant

 Invited by Professor Mark Ramseyer to participate in a conference at Harvard Law School with a group of leading Japanese law experts, September 28-29, 2018

2018 Morgan Stanley MUFG Securities, Invited Speaker

- Invited by Morgan Stanley MUFG Securities to present my research on hostile takeovers in Japan to their corporate managers and other institutional investors in Japan, September 19, 2018
- "The Enigma of Hostile Takeovers in Japan: Bidder Beware"

2018 Harvard Law School, Global Corporate Governance Colloquia (GCGC), Invited Discussant

- Invited by the GCGC Scientific Committee to participate in the conference as a discussant for a paper presented by Professor Alexander Ljungqvist, June 1-2, 2018
- Comments on "Busy Directors: Strategic Interaction and Monitoring Synergies"

2018 Seoul National University, 15th Asian Law Institute Conference, Invited Presenter

- Selected by ASLI to present my research at the 15th Asian Law Institute Conference, May 10-11, 2018
- "Stewardship in Asia: Divergent Convergence" (with Gen Goto)

2018 The University of Hong Kong, Faculty of Law, Invited Speaker

- Invited by The University of Hong Kong, Centre for Chinese Law, to present my research on independent directors in Asia, April 10, 2018
- "Varieties of Independent Directors in Asia: A Taxonomy" (co-authored with Kon Sik Kim)

2018 City University of Hong Kong, Faculty of Law, Invited Speaker

- Invited by City University of Hong Kong, Faculty of Law, to present my research on independent directors in Asia at their Faculty Seminar, April 10, 2018
- "Varieties of Independent Directors in Asia: A Taxonomy" (co-authored with Kon Sik Kim)

2018 The Chinese University of Hong Kong, Faculty of Law, Invited Speaker

- Invited by The Chinese University of Hong Kong to present my research on independent directors in Asia as the 41st Corporate and Finance Law Seminar organized by the Centre for Financial Regulation and Economic Development (CFRED), April 9, 2018
- "Varieties of Independent Directors in Asia: A Taxonomy" (co-authored with Kon Sik Kim)

2018 Chulalongkorn University, Faculty of Law, Invited Speaker

- Invited by Chulalongkorn University, Faculty of Law, to present my research on independent directors in Asia, March 23, 2018
- "Varieties of Independent Directors in Asia: A Taxonomy" (co-authored with Kon Sik Kim)

2018 Thammasat University, Faculty of Law, Invited Speaker

- Invited by Professor Nilubol Lertnuwat to provide a guest lecture in her Business Law Seminar in the International LLB Program in Business Law, March 22, 2018
- "Corporate Governance in Asia: Independent Directors & SOE Governance"

2018 UC Berkeley Law School, NUS Law and SMU law, Co-Organizer & Invited Speaker

- Presented at and co-organized a conference with Umakanth Varottil (NUS Law) and Wan Wai Yee (SMU Law) at NUS Law, which was part of a larger two-part conference series on Comparative Corporate Law organized by Berkeley-NUS-SMU, January 13-14, 2018
- "Related Party Transactions in Commonwealth Asia: Complexity Revealed" (coauthored with Umakanth Varottil)

2018 Oxford University, Commercial Law Centre, Invited Presenter

- Presented my research (with Umakanth Varottil) at a conference on corporate groups of Oxford University co-organized by Oxford's Commercial Law Centre and the E.W. Barker Centre for Law and Business, January 11, 2018
- "Related Party Transactions in Commonwealth Asia: Complexity Revealed" (coauthored with Umakanth Varottil)

2018 Copenhagen Business School, Invited Speaker

- Invited by the Copenhagen Business School to present my research on independent directors in Asia, January 8, 2018
- "Varieties of Independent Directors in Asia: A Taxonomy" (co-authored with Kon Sik Kim)

2017 Seoul National University, Faculty of Law, Invited Presenter

 Invited to present my latest comparative corporate law in Asia research (with Umakanth Varottil) at the Inaugural Asian Forum for Comparative Legal Studies at SNU Law, November 25, 2017 "Related Party Transactions in Commonwealth Asia: Complexity Revealed" (coauthored with Umakanth Varottil)

2017 The Asian Development Bank (ADB), Manila, Invited Presenter

2017

- Invited by the ADB to present my latest corporate governance in Asia research at the ADB's conference on "Corporate Governance: Challenges and Opportunities in Asia", November 20-21, 2017
- "Independent Directors in Asia: The Complexity of SOE Governance Revealed"

2017 University of Indonesia, Faculty of Law, Invited Distinguished Speaker

- Invited as a distinguished featured speaker at the "1st International Conference on Law and Governance in a Global Context (IcLave) conference to present my latest comparative corporate governance research, November 1-2, 2017
- "Varieties of Independent Directors in Asia: A Taxonomy" (co-authored with Kon Sik Kim)

University of Sydney, Faculty of Law, Invited Presenter

- Invited by Jennifer Hill and Randall Thomas to present my latest comparative corporate law research at the "Corporate Governance and Regulation: East Meets West Conference" at the University of Sydney, August 17-18, 2017
- "Varieties of Independent Directors in Asia: A Taxonomy" (co-authored with Kon Sik Kim)

2017 National University of Singapore, Faculty of Law, 4th EU Asia Corporate Governance Dialogue, Invited Discussant

 Invited by the EU Asia Corporate Governance Dialogue organizers to participate in the conference as a co-discussant (with Kon Sik Kim) for papers presented by Charlotte Ostergaard and Sumit Agarwal, July 7, 2017

2017 Tokyo University, Global Corporate Governance Colloquia (GCGC), Invited Chair

• Invited by the GCGC Scientific Committee to chair panels in the conference which included presentations by Woochan Kim, Roberta Romano, Holger Spamann, and Alexander Wagner, June 2-3, 2017

2017 University of the Philippines, 14th Asian Law Institute Conference, Invited Presenter

- Selected by ASLI to present my research at the 14th Asian Law Institute Conference, May 18-19, 2017
- "Varieties of Independent Directors in Asia: A Taxonomy" (co-authored with Kon Sik Kim)

2017 Chuo University, Institute of Comparative Law, Invited Speaker

- Invited by the Chuo University, Institute of Comparative Law, to present my latest research on comparative corporate law in Asia, April 15, 2017
- "Varieties of Independent Directors in Asia: A Taxonomy" (co-authored with Kon Sik Kim)

2017 UC Berkeley, School of Law, Invited Speaker

- Invited by Berkeley Law to present my latest research on comparative corporate law in Asia at the Berkeley-NUS-SMU Comparative Corporate Law Conference, February 25, 2017
- "The Enigma of Hostile Takeovers in Japan: Bidder Beware" (co-authored with Masafumi Nakahigashi)

2017 The University of Chicago Law School, Invited Speaker

- Invited by The University of Chicago Law School to present my latest research on comparative corporate law in Asia, February 22, 2017
- "Varieties of Independent Directors in Asia: A Taxonomy" (co-authored with Kon Sik Kim)

2017 Hitotsubashi University ICS, Invited Speaker

- Invited by Hitotsubashi University ICS to present my latest research on comparative corporate law in Asia at the Globalizing Corporate Governance and the Role of Law Conference, February 4, 2017
- "Varieties of Independent Directors in Asia: A Taxonomy" (co-authored with Kon Sik Kim)

2016 The Korean Association of Comparative Private Law, Invited Speaker

- Invited by The Korean Association of Comparative Private Law to present my research at a conference on "Ownership & Governance of Business Groups", August 19, 2016
- "Corporate Groups in Singapore: A Dispersed and Unique Regulatory Regime"

2016 The University of Tokyo, Faculty of Law, Invited Speaker

- Invited by The University of Tokyo Faculty of Law to present my latest research on comparative corporate law in Asia, August 5, 2016
- "Varieties of Independent Directors in Asia: A Taxonomy" (co-authored with Kon Sik Kim)

2016 Singapore Academy of Law Conference 2016, Invited Speaker

- Invited by The Singapore Academy of Law to present my co-authored chapter (with Professor Tan Cheng Han) on Singapore Company Law on a panel chaired by The Honourable Justice Vinodh Coomaraswamy, July 28, 2016
- "Shareholders' Remedies: Singapore's Developments Viewed Through a Comparative Lens"

2016 Kyushu University Graduate School of Law, Invited Speaker

- Invited by the Kyushu University Graduate School of Law to present my latest research on comparative corporate law, July 11, 2016
- "Independent Directors in Asia: Divergent Convergence"

2016 The University of Tokyo, Third EU Asia Corporate Governance Dialogue, Invited Discussant

2016

• Invited by the EU Asia Corporate Governance Dialogue organizers to participate in the conference as a discussant for papers presented by Marco Becht and John C. Coffee, July 8, 2016

Daiichi Tokyo Bar Association, Workshop for Globalizing Innovation in Asia, Invited Speaker

- Invited by Professor Zenichi Shishido to present my latest comparative corporate governance research in his workshop on "Globalizing Innovation in Asia" at the Daiichi Tokyo Bar Association, July 6, 2016
- "The Singapore Miracle: Can Governments Lead Innovation?"

2016 Swedish House of Finance, Global Corporate Governance Colloquia (GCGC), Invited Discussant

- Invited by the GCGC Scientific Committee to participate in the conference as a discussant for a paper presented by Professor Steven Kaplan, June 10-11, 2016
- Comments on "Are CEOs Different? Characteristics of Top Managers"

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2016 The Inter-Pacific Bar Association (IPBA), Invited Speaker

- Invited by The Inter-Pacific Bar Association (IPBA) to present my research on Independent Directors in Asia at their 26th Annual Meeting & Conference in Kuala Lumpur, April 15, 2016
- "The Myth of the American Independent Director: Asia's Complexity Revealed"

2016 Mori Hamada & Matsumoto (MHM), Invited Speaker

- Invited by the Co-Representative Partner of MHM's Singapore office to present my ideas on Japanese corporate governance to leading lawyers at The Inter-Pacific Bar Association (IPBA) 26th Annual Meeting & Conference in Kuala Lumpur, April 15, 2016
- "Japanese Corporate Law and Governance from the Perspective of a Foreign Expert: Lessons from Singapore?"

2015 The University of Chicago Law School, Invited Speaker

- Invited by The University of Chicago Law School to present my latest research on comparative corporate law in Asia, November 20, 2015
- "Independent Directors in Singapore: Puzzling Compliance Requiring Explanation" (co-authored with Luh Luh Lan

2015 The University of Tokyo, Faculty of Law, Invited Speaker

- Invited by The University of Tokyo Faculty of Law to present my latest research on comparative corporate law in Asia, November 16, 2015
- "Independent Directors in Singapore: Puzzling Compliance Requiring Explanation" (co-authored with Luh Luh Lan)

2015 Hitotsubashi University ICS, Invited Presenter

- Invited by Hitotsubashi University ICS to participate in the "Corporate Governance in Asia Textbook Workshop", November 13-14, 2015
- "Corporate Governance in Singapore: A Model for the Future?"

Vanderbilt Law School, Invited Presenter

- Invited presenter at "The Future of International Corporate Governance: Eighteenth Annual Law and Business Conference", September 3-5, 2015
- "Independent Directors in Singapore: Puzzling Compliance Requiring Explanation" (co-authored with Luh Luh Lan)

2015 Vanderbilt Law School, Invited Speaker

2015

- Invited by Paul Edelman and Randall Thomas to present my latest comparative corporate law research as part of Vanderbilt Law School's Law and Business Workshop speaker series, August 31, 2015
- "Independent Directors in Singapore: Puzzling Compliance Requiring Explanation" (co-authored with Luh Luh Lan)

2015 National University of Singapore & Singapore Management University, Faculties of Law, Invited Speaker & Commentator

- Invited to participate in a conference on Comparative Takeover Regulation, July 23-24, 2015
- Presented a paper with Masafumi Nakahigashi entitled "The Enigma of Japanese Hostile Takeovers: Bidder Beware"
- Commented on a paper by Hyeok-Joon Rho entitled "M&A in Korea: Increasing Concern for Outside Shareholders"

2015 Stanford Law School, Global Corporate Governance Colloquia (GCGC), Invited Discussant

- Invited by the GCGC Scientific Committee to participate in the conference as a discussant for a paper presented by Professor Julian Franks, June 5-6, 2015
- "A Study of Shipping Financial Distress: Privatized Bankruptcy"

2015 Seoul National University, School of Law, Invited Speaker

- Invited by the SNU Law Global Challenge Visiting Scholar Program to present my latest Asian comparative corporate law research, May 14, 2015
- "Independent Directors in Singapore: Puzzling Compliance Requiring Explanation" (co-authored with Luh Luh Lan)

2015 National University of Singapore, Faculty of Law, Principal Investigator & Presenter

- Organized a conference on "Independent Directors in Asia" which included leading corporate law scholars from Asia and around the world, February 26-27, 2015
- Presented a paper with Luh Luh Lan entitled "Independent Directors in Singapore: Puzzling Compliance Requiring Explanation", February 26-27, 2015
- Presented a theoretical framework with Kon Sik Kim for a chapter in the book project related to the conference

2015 Second EU Asia Corporate Governance Dialogue, NUS Law, Member of the Scientific Committee & Invited Discussant

- Co-organized (with Gerard Hertig (Chair), Marco Becht, and Luh Luh Lan) the Second EU Asia Corporate Governance Dialogue, which was held at NUS Law on February 24, 2015
- Participated as a discussant on a panel entitled "Regulating Controlling Shareholders", February 24, 2015

2015 ETH Zurich, Centre for Law & Economics, Invited Presenter

- Invited by the ETH Zurich Centre for Law & Economics to present my latest comparative corporate law research, February 17, 2015
- "Independent Directors in Singapore: Puzzling Compliance Requiring Explanation" (co-authored with Luh Luh Lan)

2015 London School of Economics, Law Department, Invited Presenter

- Invited by LSE to present my latest comparative corporate law research to the Law Department, February 12, 2015
- "Independent Directors in Singapore: Puzzling Compliance Requiring Explanation" (co-authored with Luh Luh Lan)

2015 Oxford University, Commercial Law Centre, Invited Speaker

- Invited by Oxford's Commercial Law Centre to present my latest comparative corporate law research at the Faculty of Law as part of their Commercial Law Centre Lectures, February 11, 2015
- "Independent Directors in Singapore: Puzzling Compliance Requiring Explanation" (co-authored with Luh Luh Lan)

2015 University College London, Centre for Commercial Law, Invited Speaker

- Invited by the UCL Centre for Commercial Law to present my latest comparative corporate law research, January 15, 2015
- "Independent Directors in Singapore: Puzzling Compliance Requiring Explanation" (co-authored with Luh Luh Lan)

2014 Japanese Ministry of Justice (MOJ) and International Civil and Commercial Law Centre (ICCLC), Osaka, Invited Participant & Speaker

- Invited by the MOJ and ICCLC to participate in a government sponsored study group on corporate disclosure, August 30, 2014 to September 1, 2014
- Invited to present a working draft paper at the MOJ, entitled "The Rise of Independent Directors in Singapore: A Case Study of Corporate Governance Disclosure", September 1, 2014

2014 Max Planck Institute for Comparative and International Private Law, Invited Presenter, Panel Chairperson & Panel Participant

- The conference entitled "Independent Directors in Japan and Other Major Asian Jurisdictions" was jointly organized in Berlin by the Max Planck Institute for Comparative and International Private Law, Japanese-German Centre Berlin, and German-Japanese Association of Jurists
- Invited to present an outline of my proposed draft chapter, to be co-authored with Kon Sik Kim, entitled "Independent Directors in Asia: A Historical, Contextual and Comparative Approach", July 17, 2014
- Invited to present my working draft chapter, co-authored with Luh Luh Luh, entitled "Singapore's Independent Director Puzzle: Signalling is Never Just Signalling", July 18, 2014
- Invited to chair a panel on Independent Directors in Japan and to participate in the concluding conference panel

2014 Mongolian Ministry of Justice and National Legal Institute of Mongolia, Invited Presenter

- Invited to present my latest research at an international conference held in the Parliament House of Mongolia, May 30, 2014
- "Multiple Faces of Shareholder Power in Asia: Complexity Revealed"

2014 National Legal Institute of Mongolia, Invited Lecturer

2014

- Invited to present my latest research to leading scholars and practitioners at the National Legal Institute of Mongolia, May 29, 2014
- "Comparative Corporate Law in Asia: Complex Lessons for Development"

2014 National University of Singapore Faculty of Law, Invited Presenter & Co-organizer

- Co-organizer and speaker at the second of two conferences sponsored by the National University of Singapore (NUS) Centre for Law & Business and the Vanderbilt University Law and Business Program on Shareholder Power, March 6-7, 2014
- "Multiple Faces of Shareholder Power in Asia: Complexity Revealed"

National University of Singapore Faculty of Law, Invited Speaker

- Invited to conduct a seminar as part of the Faculty's Teaching Seminar Series on examination methods, February 5, 2014
- "Examining How We Examine: Considering Multiple Choice and Other Forms of Exam Questions"

2013 Korean Legislative Research Institute (KLRI), Invited Presenter

- Invited by KLRI and the Asian Legal Information Network (ALIN) to present my latest research at The 8th ALIN Expert Forum on "Current Legal Issues in Asian Countries", December 5, 2013
- "Multiple Faces of Shareholder Power in Asia: Complexity Revealed"

2013 Institutum Iurisprudentiae, Academia Sinica, Taiwan, Invited Presenter

 Invited to present my latest research at a joint faculty seminar organized by NUS Law and Academia Sinica, November 22, 2013 • "Multiple Faces of Shareholder Power in Asia: Complexity Revealed"

2013 National Taiwan University College of Law, Invited Presenter

- Invited by the College of Law to present my latest comparative corporate law research at a faculty workshop, November 21, 2013
- "Multiple Faces of Shareholder Power in Asia: Complexity Revealed"

2013 Tsinghua University Faculty of Law, Invited Presenter

- Invited to present a paper at the 13th Annual Commercial Law Forum, October 26-27, 2013
- "Multiple Faces of Shareholder Power in Asia: Complexity Revealed"

2013 North China University of Technology, Invited Speaker

- Invited by the Faculty of Law to present my latest comparative and Singapore corporate law research, October 25, 2013
- "Singapore Corporate Law & Governance: Lessons for China?"

2013 Vanderbilt Law School, Invited Presenter & Co-organizer

- Co-organizer and speaker at the second of two conferences sponsored by the National University of Singapore (NUS) Centre for Law & Business and the Vanderbilt University Law and Business Program on Shareholder Power, September 26-27, 2013
- "Multiple Faces of Shareholder Power in Asia: Complexity Revealed"

2013 The University of Tokyo Faculty of Law, Invited Presenter

- Invited by the Faculty of Law to present my latest comparative and Japanese corporate law research, June 17, 2013
- "The Derivative Action in Asia: The Complex Reality of Comparative Corporate Law"

2013 Nagoya University Faculty of Law and the Centre for Asian Legal Exchange (CALE), Invited Presenter

- Invited by the Faculty of Law and CALE to present my latest research on Japanese and comparative corporate law in Asia, June 11, 2013
- "Decoding Comparative Corporate Law: The Complex Reality of Activist Japanese Judges"

2013 Japanese Ministry of Justice (MOJ) and International Civil and Commercial Law Centre (ICCLC), Osaka, Invited Speaker

2013

- Invited by the MOJ and ICCLC to speak about corporate disclosure in Singapore and participate in a comparative study on corporate disclosure in Asia, June 4, 2013
- "Corporate Disclosure in Singapore: A Story of Success or Failure?"

10th Asian Law Institute Conference, National Law School of India University (NLSIU), Bangalore, Panel Organizer and Invited Presenter

- Invited by ASLI to organize and chair a panel and present a paper at their annual conference, May 23-24, 2013
- The paper was entitled "Decoding Comparative Corporate Law: The Complex Reality of Activist Japanese Judges"
- The panel was entitled "Political Change Versus Law Reform Continuity: Japanese Law After Three Years of Enthusiasm and Disillusionment"

2013 Kyushu University Graduate School of Law, Invited Speaker

- Invited by the Graduate School of Law to present my latest comparative and Japanese corporate law research, May 10, 2013
- "Comparative Corporate Law and Governance in Asia: Complexity Revealed"

2013 National University of Singapore Faculty of Law, Invited Speaker

- Invited to conduct a seminar as part of the Faculty's Teaching Seminar Series on supervising student research, March 13, 2013
- "Deftly Directing Directed Research"

2013 Queen's University Faculty of Law, Invited Speaker

- Invited by Queen's University Faculty of Law to give the annual Law '80 Visiting Lecture, March 4, 2013
- "The Derivative Action in Asia: A Complex Reality"

2013 University of Melbourne Law School, Invited Speaker

- Invited by the Asian Law Centre to present my research on comparative corporate law and governance in Asia, January 29, 2013
- "Comparative Corporate Governance in Asia: Complexity Revealed"

2012 University of Chicago Law School, Invited Speaker

- Invited by the Law School's China Law Society to present my research on the derivative action in Asia, November 27, 2012
- "The Derivative Action in Asia: An Inconvenient Truth"

2012 Vanderbilt Law School, Invited Speaker

- Invited by Paul Edelman and Randall Thomas to present my research on the derivative action in Asia as part of Vanderbilt Law School's Law and Business Workshop speaker series, November 26, 2012
- "The Derivative Action in Asia: An Inconvenient Truth"

2012 University of British Columbia Faculty of Law, Invited Speaker

- Invited by the Faculty of Law to present my research on the derivative action in Asia, November 21, 2012
- "The Derivative Action in Asia: An Inconvenient Truth"

2012 Seoul National University, School of Law, Invited Speaker

- Invited by the Law and Culture Forum Series of the SNU Law Research Institute to present my latest Asian comparative corporate law research, September 26, 2012
- "The Derivative Action in Asia: A Comparative and Functional Approach"

2012 The Mitsubishi UFJ Foundation International Conference, Zushi, Japan, Speaker and Commentator

- Invited by the organizers of the Mitsubishi UFJ Foundation International Conference to present my latest research and comment on another participant's paper at the biennial interdisciplinary conference, August 25-27, 2012
- "The Derivative Action in Asia: A Complex Reality for Corporate Executives"

2012 Singapore Institute of Legal Education, Continuing Professional Development Seminar, Speaker

- Provided a continuing professional development seminar for over 100 lawyers on the derivative action in Singapore, August 13, 2012
- "The Derivative Action in Singapore: Comparative and Strategic Considerations"

2012 Singapore Institute of Legal Education, Continuing Professional Development Seminar, Speaker

- Provided a continuing professional development seminar for over 85 lawyers on shareholders' remedies in Singapore, July 18, 2012
- "The Current State of Shareholders' Remedies in Singapore: Comparative, Practical and Strategic Considerations"

2012 9th Asian Law Institute Conference, National University of Singapore, Invited Presenter and Panel Moderator

- Invited by ASLI to present a paper and chair a panel at their annual conference, May 31 & June 1, 2012
- The paper was entitled "The Derivative Action in Asia: A Complex Reality"
- The paper panel was on "Corporate Law and Governance"

2012 American Society of Comparative Law, Younger Comparativists Committee Conference, Invited Presenter

- Invited to present a paper by the American Society of Comparative Law, Younger Comparativists Committee, at George Washington University Law School at its inaugural conference, April 20, 2012
- "The Derivative Action in Asia: A Complex Reality"

2012 National University of Singapore, Faculty of Law Research Seminar Series, Invited Speaker

- Invited to present a paper to the NUS Faculty of Law as part of its Research Seminar Series, April 11, 2012
- "The Derivative Action in Asia: A Complex Reality"

2012 University of Melbourne Law School, Invited Speaker

- Invited by the Asian Law Centre and Centre for Corporate Law and Securities Regulation to present my research on derivative actions in Asia, February 8, 2012
- "The Derivative Action in Asia: An Inconvenient Truth"

2012 Corporate Law Teachers Association, Invited Presenter

- Invited to present a paper at the annual Corporate Law Teachers Association National Conference held at Bond University, February 5-7, 2012
- Received the best paper prize for my paper entitled "The Derivative Action in Asia: A Complex Reality"

2011 Tsinghua University Faculty of Law, Invited Presenter

- Invited to present a paper at the 11th Annual Commercial Law Forum, November 12-13, 2011
- "The Derivative Action in Asia: A Complex Reality"

2011 Brooklyn Law School, Invited Presenter

- Invited by the law school to present my research at a conference on "Globalization of the U.S. Litigation Model", October 21, 2011
- "The Derivative Action in Asia: A Complex Reality"

2011 Columbia Law School, Invited Speaker

- Invited by the law school to present my research at a seminar sponsored by the Centres for Japanese and Chinese Legal Studies, October 19, 2011
- "The Complexity of Derivative Actions in Asia: An Inconvenient Truth"

2011 University of Victoria Law School, Invited Speaker

- Invited by the law school to present my research at a Faculty seminar, July 12, 2011
- "Derivative Actions in Japan and Asia: Culturally Irrational, Politically Motivated or Economically Efficient?"

2011 Law and Society in Malaysia Conference, University of Victoria, Invited Panel Chair

• Invited by the conference organizers to chair a panel on "The Civil Courts and Judiciary in Malaysia", July 15, 2011

2011 8th Asian Law Institute Conference, Kyushu University, Panel Organizer and Invited Presenter

- Invited by ASLI to present a paper at their annual conference, May 26-27, 2011
- "The Complexity of Derivative Actions in Asia: An Inconvenient Truth"
- Organized and chaired a panel on "Corporate Law and Governance in a Sustainable Asia: Walking the Talk or Just Talking?"

2011 Zhejiang University Guanghua Law School, Invited Speaker

- Invited by the law school to present my research at a faculty seminar, April 8, 2011
- "Shareholder Lawsuits in East Asia: Culturally Irrational, Politically Motivated or Economically Efficient"

2011 Kyushu University Graduate School of Law, Invited Speaker

- Invited to present a paper at Kyushu University's conference on collective actions, February 12-13, 2011
- "Japan's Love for Derivative Actions: Revisiting Irrationality as a Rational Explanation for Shareholder Litigation"

2010 University of Sydney Law School, Invited Speaker

- Invited to present on my book project examining the derivative action in Asia, November 19, 2010
- "Derivative Actions in Asia: Culturally Irrational, Politically Motivated or Economically Efficient?"

2010 Bond University Faculty of Law, Invited Presenter

- Invited to present a paper at The Future of Japanese Law Conference, November 15, 2010
- "Japan's Love for Derivative Actions: Revisiting Irrationality as a Rational Explanation for Shareholder Litigation"

2010 Tsinghua University Faculty of Law, Invited Presenter

- Invited to present a paper at the 10th Annual Commercial Law Forum, October 30-31, 2010
- "Japan's Derivative Action and Its Effect on the Development of Directors' Duties"

2010 Harvard-Stanford International Junior Faculty Forum, Invited Presenter

- Selected after two rounds of peer review from hundreds of submissions to be one of thirteen presenters at the annual forum which is aimed at identifying and bringing together the next generation of leading legal scholars from across the globe
- "Japan's Love for Derivative Actions: Revisiting Irrationality as a Rational Explanation for Shareholder Litigation", October 7-8, 2010

2010 National University of Singapore, Faculty of Law, Principal Investigator and Presenter

- Organized a conference on "Derivative Actions in Asia" for leading comparative and Asian corporate law scholars and presented a paper, July 23-24, 2010
- "Land of the Rising Derivative Action: Revisiting Irrationality to Understand Japan's Unreluctant Shareholder Litigant"

2nd NUS-Sydney Law Conference 2010, Invited Presenter, Singapore

- Invited to present a paper at the annual conference, July 15-16, 2010
- "Japan's Love for Derivative Actions: Revisiting Irrationality as a Rational Explanation for Shareholder Litigation"

2010 7th Asian Law Institute Conference, Invited Presenter, Kuala Lumpur

- Invited by ASLI to present a paper at ASLI's annual conference, May 25-26, 2010
- "Japan's Love for Derivative Actions: Revisiting Irrationality as a Rational Explanation for Shareholder Litigation"

2009 Nagoya University Faculty of Law, Invited Lecturer

2010

- Invited to provide a guest lecture to graduate law students from Nagoya University and members of Toyota Industries Corporation's legal department, December 15, 2009
- "The Comparative Risk of Liability Faced by Directors in Major Corporate Law Jurisdictions"

2009 Seoul National University College of Law, Invited Speaker

- The Comparative Corporate and Commercial Law Lecture Series, May 26, 2009
- "Why Unique Legal and Institutional Incentives Limit the Lessons that Can Be Learned from Japan's Banking Crisis"

2009 Kyushu University Graduate School of Law, Invited Speaker

- Kyushu University-Munich University Symposium on Law and Behaviour Law, February 16, 2009
- "The Lessons from the Lost Decade, Lost? Regulation of Banks in Times of Financial Crisis"

2008 The National University of Singapore Faculty of Law, Invited Speaker

• "The Efficiency of Friendliness: Japanese Corporate Governance Succeeds Again Without Hostile Takeovers", National University of Singapore, Invited Speaker, February 15, 2008

2008 Kyushu University Graduate School of Law, Invited Commentator The Annual Alumni Symposium and Law Conference, February 10, 2008 "Law & Development at a Crossroads: Asian Alternatives to Universal Schemes" 2008 Queen's University Faculty of Law, Invited Speaker Invited to present current research at Queen's University Faculty of Law, January 25, 2008 "The Role of Hostile Takeovers in Japanese Corporate Governance" 2008 Osgoode Hall Law School, Invited Guest Lecturer Comparative Corporate Governance Advanced Seminar, January 23, 2008 "The Convergence Debate Revisited: A Comparison of the Evolution of American and Japanese Corporate Governance" 2007 University of Victoria Law School, Invited Panel Moderator Panel Moderator for New Courts in Japan, July 15, 2007 2007 Australia National University, Invited Speaker The Japanese Studies Association of Australia Conference – Corporate Governance Panel, July 5, 2007 "Perverse Main Bank Rescue in the Lost Decade: Proof that Unique Institutional Incentives Drive Japanese Corporate Governance" Conference for Experts in Japanese Law, Creighton Law School, 2007 **Invited Speaker** Invited to present my doctoral research at a conference for academics and practitioners in the field of Japanese law, May 12, 2007 "The Japanization of American Corporate Governance" 2007 Seoul National University, School of Law, Invited Speaker The Comparative Corporate and Commercial Law Lecture Series, April 27, 2007 "The Never-Ending History for Corporate Law: A Challenge to the Convergence Debate" 2007 University of Illinois College of Law, Invited Speaker The Asian Law, Politics and Society Lecture Series, March 2, 2007 "A Challenge to Professors Miwa and Ramseyer's Free-Market Theory of Japanese Corporate Governance" 2007 Kyushu University Graduate School of Law, Invited Speaker The Corporate Governance in East Asia Conference, February 10, 2007

• "The Japanization of American Corporate Governance? Evidence of the Never-Ending History for Corporate Law"

ATHLETIC ACHIEVEMENTS

2005 Member of the Toronto East Intramural Basketball Championship
Team

2002 Member of the Kyushu University Rugby Team

| 2000 | Vice President, University of Victoria Law School Rugby Club |
|-------------|---|
| 1994 - 1995 | Member of the Manitoba Junior Provincial Rugby Team |
| 1994 | St. Paul's High School Rugby Club Coaches Award |
| 1993 | City of Winnipeg High School (American) Football All Star Team |
| 1993 | St. Paul's High School Crusader (American) Football Spirit Award |
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INTERESTS

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Traveling

- Amazing wife (Norah) and son (Malakai)
- Backpacked through over 65 countries on five continents
- Overland trips from Kathmandu to London and from Lima to Rio de Janeiro

Sports

- Basketball, cooking, fishing, jogging, rugby, and scuba diving
- Completed short cooking courses in Japan, Indonesia and Thailand

List of Publications

Dr. Dan W. Puchniak

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Singapore Management University
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Tel: +65 6828 0893 | danwpuchniak@smu.edu.sg
http://ssrn.com/author=664455

BOOKS

1. DIONYSIA KATELOUZOU & DAN W. PUCHNIAK EDS., GLOBAL SHAREHOLDER STEWARDSHIP (CAMBRIDGE UNIVERSITY PRESS, 2022) (694 Pages)

- Co-Editor of book and Co-Author of five chapters, including the main comparative chapter, a chapter on Asia, a chapter on controlling shareholders, and chapters on China and Singapore
- Endorsed by several <u>leaders in the field</u>
- The book has been positively reviewed on the <u>LSE Blog</u>
- 2. DAN W. PUCHNIAK, HARALD BAUM & LUKE NOTTAGE EDS., INDEPENDENT DIRECTORS IN ASIA: A HISTORICAL, CONTEXTUAL AND COMPARATIVE APPROACH (CAMBRIDGE UNIVERSITY PRESS, 2017) (634 Pages)
 - Lead Editor and Co-Author of three chapters
 - The book has been positively reviewed by: Pearlie Koh, Independent Directors in Asia: A Historical, Contextual, and Comparative Approach, by Dan W. Puchniak, Harald Baum and Luke Nottage, eds., 2018 SINGAPORE JOURNAL OF LEGAL STUDIES 157; Benny Tabalujan, Book Review: Independent Directors in Asia, 19 Australian Journal of Asian Law 12 (2019); Sang Yop Kang, Corporate Governance in Asia Dan W. Puchniak, Harald Baum, & Luke Nottage, eds., Independent Directors in Asia: A Historical, Contextual, and Comparative Approach (Cambridge University Press) pp 634 6 Asian Journal of Law and Society 223 (2019)
 - Endorsed by several leaders in the field
- 3. DAN W. PUCHNIAK, HARALD BAUM & MICHAEL EWING-CHOW EDS., THE DERIVATIVE ACTION IN ASIA: A COMPARATIVE AND FUNCTIONAL APPROACH (CAMBRIDGE UNIVERSITY PRESS, 2012) (475 Pages)
 - Lead Editor and Author/Co-Author of over half of the book
 - The book has been cited by the Singapore Court of Appeal (Singapore's highest court) in: Thio Syn Kym Wendy and Others v Thio Syn Pyn and Others [2018] 2 SLR 788; Chong Chin Fook v Solomon Alliance Management Pte Ltd [2017] 1 SLR 348; Petroships Investment Pte Ltd v Wealthplus Pte Ltd [2016] 2 SLR 1022.
 - The book has been cited by the Singapore High Court in: *Jian Li Investments Holding Pte Ltd and others v Healthstats International Pte Ltd and others* [2019] 4 SLR 825
 - The book has been positively reviewed in three review articles: Pierre Legrand, *Noted Publications: Puchniak, Dan W., Harald Baum and Michael Ewing-Chow (eds.). The*

Derivative Action in Asia. Cambridge, Cambridge University Press, 2012, 7.2 JOURNAL OF COMPARATIVE LAW 347 (2012); Luke Nottage & Fady Aoun, The Derivative Action in Asia: A Comparative and Functional Approach, 34 Sydney Law Review 213 (2012); Pearlie Koh, Book Review: The Derivative Action in Asia: A Comparative and Functional Approach by Dan W. Puchniak, Harald Baum and Michael Ewing-Chow, eds., 2012 Singapore Journal of Legal Studies 496

Endorsed by several <u>leaders in the field</u>

ARTICLES

- 1. Zhou Chun, Zhang Wei & Dan W. Puchniak, *The Overlooked Reality of Shareholder Activism in China: Defying Western Expectations*, HARVARD BUSINESS LAW REVIEW (Forthcoming)
 - Featured on the Harvard Law School Forum on Corporate Governance
 - Feature on the European Corporate Governance Institute Blog
- 2. Dan W. Puchniak & Umakanth Varottil, Climate-Related Shareholder Activism as Corporate Democracy: A Call to Reform "Acting in Concert" Rules, JOURNAL OF CORPORATION LAW, (Forthcoming)
 - Featured on the <u>Harvard Law School Forum on Corporate Governance</u>
- 3. Roza Nurgozhayeva & Dan W. Puchniak, *Corporate Purpose Beyond Borders: A Key to Saving Our Planet or Colonialism Repackaged?* VANDERBILT JOURNAL OF TRANSNATIONAL LAW (Forthcoming)
 - Featured on the <u>Harvard Law School Forum on Corporate Governance</u>
 - Featured on the European Corporate Governance Institute Blog
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